

CC/S&B/SA/183  
17.08.2020

BSE Limited  
The Listing Department,  
Phiroze Jeejeebhoy Towers,  
Dalal Street, Fort,  
Mumbai- 400001

The National Stock Exchange of India Ltd.  
The Listing Department,  
Exchange Plaza,  
Bandra Kurla Complex,  
Mumbai- 400051

Dear Sir/Madam,

**Subject: Disclosure under Regulation 30(4) of Securities Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015- Imposition of Penalty by SEBI**

Pursuant to the applicable provisions of SEBI (LODR) Regulations 2015, we advise that in exercise of powers conferred U/S 15-I of the SEBI Act, 1992, read with Rules 5 of SEBI (Procedure for holding inquiry and imposing penalties) Rules, 1995 has imposed a penalty aggregating to Rs. 10 Lacs (Rupees Ten Lacs only) on the Bank for non-compliance of Regulation 7B of SEBI (Mutual Fund Regulations) 1996 with the directions issued by the SEBI in the case of holding, more than prescribed limit, equity shares of UTI AMC Ltd and UTI Trustee Company Pvt. Ltd.

Wholetime member of the SEBI had earlier directed the Bank to comply with the Guidelines on or before 31<sup>st</sup> December, 2020.

This is for information and record.

Thanking you,

Yours faithfully  
For State Bank of India



Sanjay M. Abhyankar  
Vice President Compliance (Company Secretary)